

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF NORTH CAROLINA  
Case No.

Plaintiff(s),  
v.  
Defendant(s).

## **DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A DIRECT FINANCIAL INTEREST IN LITIGATION AND ATTRIBUTED CITIZENSHIP**

Pursuant to Fed. R. Civ. P. 7.1 and Local Civil Rule 7.3, or Fed. R. Crim. P. 12.4 and Local Criminal Rule 12.3,

(name of party/intervenor) who is (plaintiff/defendant/other: \_\_\_\_\_),

makes the following disclosure:

- 1. Is the party/intervenor a publicly held corporation or other publicly held entity?**

**YES** \_\_\_\_\_ **NO** \_\_\_\_\_

2. Does the party/intervenor have any parent corporations?

**YES** \_\_\_\_\_ **NO** \_\_\_\_\_

If yes, identify all parent corporations, including grandparent and great-grandparent corporations:

3. Is 10% or more of the stock of the party/intervenor owned by a publicly held corporation or other publicly held entity?

**YES** \_\_\_\_\_ **NO** \_\_\_\_\_

If yes, identify all such owners:

4. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation (Local Civil Rule 7.3 or Local Criminal 12.3)?

**YES** \_\_\_\_\_ **NO** \_\_\_\_\_

5. Is the party/intervenor a trade association?

**YES** \_\_\_\_\_ **NO** \_\_\_\_\_

If yes, identify all members of the association, their parent corporations, and any publicly held companies that own 10% or more of a member's stock:

6. If the case arises out of a bankruptcy proceeding, identify any trustee and the members of any creditor's committee:
  
  7. In a case based on diversity jurisdiction, the following is a list of every individual or entity whose citizenship is attributed to the party/intervenor:

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(Name of individual/entity)

(State of citizenship)

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(Name of individual/entity)

(State of citizenship)

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(Name of individual/entity)

**(State of citizenship)**

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(Name of individual/entity)

**(State of citizenship)**

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(Name of individual/entity)

**(State of citizenship)**

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(Name of individual/entity)

(State of citizenship)

If there are additional individuals or entities whose citizenship is attributed to the party/intervenor, please provide their names and states of citizenship on a separate piece of paper.

Signature: \_\_\_\_\_

Date: